Utah Division of Substance Abuse and Mental Health

Treatment Episode Data Set (TEDS)

Admission/Transfer File Format and Definitions

Official Document for FY 2007 Data Submission

	DATE	AUTHOR	VERSION	NOTES
ĺ	9/15/2006	Casey Loveland	.02	Added note about submitting data for multiple providers.
	8/25/2006	Casey Loveland, Dori Wintle, Brad Loveland	.01	HLCI column changed to SAMHIS client ID. Removed leading zeros from unknown and not applicable code values for Medicaid ID. Added note to Medicaid ID that it should be either 2 or 10 characters in length. Added note to Primary Substance 1st age of use that it must be less than client's current age, but not less than their birth date. Added notes to Service/Program Types that are not required to have less than 5% of unknowns.

Introduction

NOTE: New content since FY2006 highlighted in *yellow italics*. New content since March 24, 2006 is also **bolded**.

Two documents, the Client Data Record Format and the TEDS Definitions, have been combined into one document to make it easier to know what is required. The last column in the following table is labeled "Code" and is used to describe each element as follows:

Codes

KEY: These fields are used to match discharge records with admission records. These data must be complete and accurate for both admission and discharge records.

ADMIT: These fields are used for the Admission / Transfer file. Only items with ADMIT or KEY can be sent in the Admission file.

DISCH: These fields are used for the Discharge file. Only items with DISCH or KEY can be sent in the Discharge file.

NOMS: These fields are required to be sent to the Federal Substance Abuse and Mental Health Administration (SAMHSA) by the National Outcome Measures (NOMS) grant. For each Local Authority area, these variables must have no more than 5% unknown or missing codes.

Service/Program Type Codes 0, 1, 2, 8 and 9 are not required to have less than 5% unknown or missing values.

FED: Reported to SAMHSA but not part of the NOMS grant.

STATE: These fields are not reported to the Federal Substance Abuse and Mental Health Administration.

TRANS: Only the Transaction Type field has this code and its properties are described in the definition of this field.

DIAG: Submit most current diagnosis. Each quarter we require a current and complete list of all diagnoses that are being treated up to 10 on Axis I. Leave subsequent fields blank if there are no subsequent diagnoses. No diagnoses are required if the client is a Co-Dependent/Collateral.

NOTE: No blanks are allowed in the file except where specified above. The middle name field and SAMHIS Client ID fields can also be left blank if not available.

CSV File Generation Guidelines

- 1. All files should be submitted without a header row.
- 2. It is recommended that fields **NOT** contain extra spaces for padding. For example, if a field allows 11 digits but the code values only use 3 digits then 3 digits is an acceptable width for the field. Adding the spaces only increases the size of the file and slows down uploading and processing of files.
- 3. Non-required fields must either be blank or contain a valid value.
- 4. Commas are not allowed within the data in any field. (Commas are column delimiters.)
- 5. Do not use quotes in any fields.
- 6. Do not insert blank lines between rows of data.

Supplemental Definitions

Client: A person who meets <u>all</u> of the following criteria:

- 1. has an alcohol or drug related problem,
- 2. has completed the screening and intake process,
- 3. has been formally admitted for treatment or recovery service in an Alcohol or Drug Treatment unit operated or funded (fully or partially) by a State Alcohol or State Drug Authority, and
- 4. has his or her own client record.

If a person has only completed the assessment process and it is determined that he/she does not need treatment and therefore does not meet all of the above criteria of a client, the person can still be included as a TEDS admission but must have a code of "Assessment ONLY" in the *Service/Program Type*.

(A person is <u>not</u> a client if he/she has only completed a screening or intake process or has been placed on a waiting list.)

Service/Program Type: (**Field #9**) – the service that the client is admitted or transferred into.

Assessment Only: This code should be used if a person has only completed the assessment process (has not been formally admitted into substance abuse treatment) and it is determined that he/she does not need substance abuse treatment and therefore does not meet all of the criteria of a client. Remember that these individuals do not meet the federal definition of a client for TEDS reporting purposes. Records with this service code are not required to have less than 5% unknown or missing.

Detoxification, 24-hour service, Hospital Inpatient: 24-hour per day medical acute care services for detoxification for persons with severe medical complications associated with withdrawal. To qualify under this service type, the service must also meet the specifications as outlined under ASAM Level IV-D or Level III.7-D which are as follows: 1) an organized service delivered by medical and nursing professionals that provides for 24-hour medically-directed evaluation and withdrawal management in an acute care inpatient setting. Services are delivered under a defined set of physician-approved policies and physician-managed procedures or medical protocols. Or, 2) an organized service delivered by medical and nursing professionals, which provides for 24-hour medically supervised evaluation and withdrawal management in a permanent facility with inpatient beds. Records with this service code are not required to have less than 5% unknown or missing.

Detoxification, 24-hour service, Free-Standing Residential: 24-hour per day services in non-hospital setting providing for safe withdrawal and transition to ongoing treatment. To qualify under this service type, the service must also meet the specifications as outlined under **ASAM Level III.2-D** which are as follows: an organized service delivered by appropriately trained staff, who provide 24-hour supervision, observation and support for patients who are intoxicated or experiencing withdrawal. **Records with this service code are not required to have less than 5% unknown or missing.**

Rehabilitation/Residential, Hospital (other than detoxification): 24 hour per day medical care in a hospital facility in conjunction with treatment services for alcohol and other drug abuse and dependency. To qualify under this service type, the service must also meet the specifications as outlined under ASAM Level IV which are as follows: an organized service, staffed by designated addiction physicians or addiction credentialed clinicians and requires an interdisciplinary staff to care for patients whose acute biomedical, emotional or behavioral problems are severe enough to require primary medical and nursing services. Treatment is provided 24 hours a day, and the full resources of a general acute care hospital or psychiatric hospital are available.

Rehabilitation/Residential, Short Term: Typically 30 days or less of non-acute care in a setting with treatment services for alcohol and other drug abuse and dependency. To qualify under this service type, the service must also meet the specifications as outlined under ASAM Level III.7 or Level III.5 which are as follows: Level III.7—an organized service, staffed by designated addiction treatment personnel or addiction-credentialed physicians, that provides a planned regimen of 24-hour professionally directed evaluation, care and treatment for addicted patients in an inpatient setting. Twenty-four hour observation, monitoring and treatment are available, however, the full resources of an acute care general hospital or a medically-managed inpatient treatment service system are not necessary. Level III.5—programs designed to address significant problems with living skills, that are accurately characterized by the intensity of the addiction treatment services and the highly structured program activity, where the resident's activities are prescribed 24 hours a day until the resident demonstrates specified treatment progress. With increased staff training and nursing supervision, programs at this level are able to address the medical needs of residents who have slightly more severe medical problems.

<u>Rehabilitation/Residential, Long Term</u>: Typically more than 30 days of non-acute care in a setting with treatment services for alcohol and other drug abuse and dependency; this may include transitional living arrangements such as half way houses. To qualify under this service type, the service must also meet the specifications as outlined under **ASAM Level III.1** or **Level III.3** which are as follows: Level III.1—offers low-intensity professional addiction treatment services at least 5 hours a week. This level of care is best understood in its component parts.

The professional addiction treatment services provided in this setting are low-intensity outpatient services focused on problems in applying recovery skills. The other component is a structured recovery environment, staffed 24 hours a day. Level III.3—provide a structured recovery environment in combination with medium-intensity professional clinical services to support and promote recovery. Services generally are considered to be of medium intensity and are presented at a slower pace than in more intensive residential programs. Persons who are appropriately placed in this level of care are characterized by their need for a slower paced treatment presentation because of mental health problems or reduced cognitive functioning or the chronicity of their illness.

Ambulatory, Intensive Outpatient: As a minimum the client must receive treatment lasting two or more hours per day three or more days per week. To qualify under this service type, the service must also meet the specifications as outlined under ASAM Level II.5 or Level II.1 which are as follows: involves a structured day or evening treatment program that may be offered before or after work or school, in the evening or on a weekend. Programs have the capacity to arrange for medical and psychological consultation, psychopharmacological consultation and 24-hour crisis services. In addition, they have active affiliations with other levels of care and can assist in accessing clinically necessary "wraparound" support services such as child care, transportation and vocational training. Distinctions are made among various subtypes of Level II program as follows: Level II.5) Generally provides 20 or more hours of clinically intensive programming per week based on individual treatment plans. Programs have ready access to psychiatric, medical and laboratory services. Level II.1) Generally provide nine or more hours of structured programming per week, consisting primarily of counseling and education around alcohol and other drug problems. The patient's needs for psychiatric and medical services are addressed through consultation or referral arrangements. II.1 differs from II.5 in the intensity of clinical services that are directly available: specifically, II.1 has less capacity to effectively treat individuals who have substantial medical and psychiatric problems.

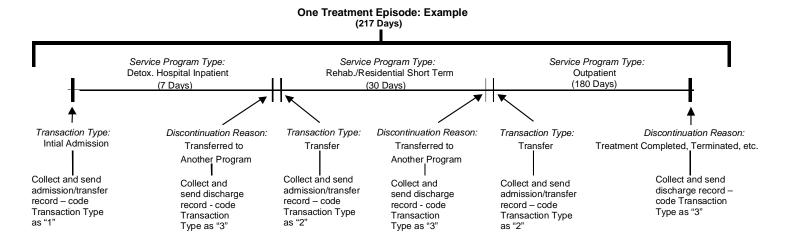
Ambulatory, Non-Intensive Outpatient: Treatment services including individual, family and/or group services; these may include pharmacological therapies. To qualify under this service type, the service must also meet the specifications as outlined under **ASAM Level I** which are as follows: organized non-residential services, which may be delivered in a wide variety of settings. Addiction treatment personnel or addiction credentialed clinicians provides professionally directed evaluation, treatment and recovery services to persons with substance-related disorders. Such services are provided in regularly scheduled sessions of usually fewer than 9 contact hours a week.

<u>Ambulatory, Detoxification</u>: Outpatient treatment services providing for safe withdrawal in an ambulatory setting – pharmacological or non-pharmacological. To qualify under this service type, the service must also meet the specifications as

outlined under **ASAM Level I-D**, **or Level II-D** which are as follows: 1) an organized outpatient service, which may be delivered in an office setting, healthcare or addiction treatment facility, or in a patient's home, by trained clinicians who provide medically supervised evaluation, detoxification and referral services according to a pre-determined schedule. Or 2) an organized outpatient service, which may be delivered in an office setting, healthcare or addiction treatment facility, by trained clinicians who provide medically supervised evaluation, detoxification and referral services according to a pre-determined schedule. Essential to this level of care is the availability of appropriately-credentialed and licensed nurses (R.N., L.P.N.) for monitoring of patients over a period of several hours each day of service. **Records with this service code are not required to have less than 5% unknown or missing.**

<u>Limited Treatment</u>: If a provider of services would like to submit data to the State for clients who are receiving services they would define as "limited treatment," the provider must submit a separate explanation or description of specifically what these services are. However, with the implementation of the new DUI curriculum, it will no longer be necessary or appropriate to report those clients under these services—we will be collecting information on those clients separately. It should also be noted, that any clients reported to the State under this service type will not be included in any statistical reports produced by the State. *Records with this service code are not required to have less than 5% unknown or missing.*

Treatment Episode: the period of service between the initiation of substance abuse treatment services for a client with a drug or alcohol abuse or dependency problem and the termination of services for that client, where no significant break in services has occurred. There is only one initial admission per episode. Therefore, if a client in the



midst of a single episode of treatment changes services/modalities or providers, this event is considered a "<u>transfer</u>" rather than a new initial admission. For example, a client who has been in detoxification may complete this level of service and be transferred to a residential setting within the same treatment episode. This transaction should be reported as a **transfer**, <u>not</u> a new initial admission. A treatment episode should be assumed to have ended, and the client officially discharged from the treatment episode (if not discharged already), if the client has not been seen in 5 days in the case of an inpatient or residential, 14 days in the case of day treatment and 60 days in the case of an intensive or general outpatient. Admissions and transfers must be sent in the TEDS Admit/transfer file format and Discharges must be sent in the TEDS Discharge file format as a separate file. Clients returning for services after the elapsed time described need to be reported as an initial admission to a subsequent treatment episode.

Admission and Discharge Date Rules:

Admission / Transfer File

Admission Dates must fall within the current fiscal year.

Duplicate Admit Date / Time for the same client and ASAM (service_program_cd) will produce an error.

All TEDS Admission / Transfer records are generally inserts into SAMHIS.

Discharge File

Discharge Dates must fall within the current fiscal year.

All Discharge records are updates in SAMHIS.

Admission Date is a KEY field in the Discharge File and has no validation requirements. It is used injunction with the other key fields to match Discharge records with Admission records.

Client Name Validation Rules:

TEDS file will have fields for the following parts of a name:

- Last name
- First name
- Middle name

Naming Rules:

Names can be entered in either upper case, lower case, or a mix.

Spaces: Allowed in first and middle names. NOT allowed in last names.

Example: Mc Donald should be entered as McDonald

De La Cruz should be entered as DeLaCruz

Example: Le Ann Mary Ann Mc Cartney

Can be entered as: First: Le Ann Middle: Mary Ann Last: McCartney

Hyphens: Allowed in first, middle and last names. It is the only allowable punctuation character allowed.

Examples:

(last name)Smith-Jonesshould be entered asSmith-Jones(first name)Jo-Annshould be entered asJo-Ann(last name)O'Rilleyshould be entered asORilley(last name)St. JamesStJames

(first name) D'Ann should be entered as DAnn or D Ann

Numeric characters: Not allowed in any name

First name is an initial: The initial can be entered in the first name field but no periods.

Middle name: If there is no middle name or it is not available, leave blank. Supply the full legal middle name where possible and the middle initial if that is all that is available. Periods are not allowed.

Second name: Enter the second name in the middle name field

Example: J. Edgar Hoover

First name: J (no period) Middle name: Edgar Last Name: Hoover

Enter legal names rather than nicknames

Example: "Bill" should be entered as William

"Bob" should be entered as Robert

"C.J." should be entered as Carlos as a first name and James as the middle name

Titles, Prefixes, Suffixes: not allowed

Naming rules synopsis:

Character	Last Name	First and Middle Names
Alpha Characters	Allowed	Allowed
Hyphen	Allowed	Allowed
Spaces	Not Allowed	Allowed
Apostrophe	Not allowed	Not allowed
Numeric Characters	Not allowed	Not allowed

Submitting for Multiple Providers

TEDS Admit files can contain data for more than one provider per file. SAMHIS backend processing engines associate data in TEDS Admit files to the provider ID specified on every row in the file.

	UDSAMH Admissi o	on/Transfer File Form	nat for TEDS - FY2007 version date 8/25/2006	
Name and Description	Allowed Values	Format	Definition	Code
1 RecordNo	1,2,, (Number of Records)	number (10)	A sequential count of the records submitted each quarter.	
Record Number			This field is NOT used to match records.	
2 provider_id	Utnnnn	string (15)	Identifies the provider of the alcohol or drug treatment service,	KEY
Provider ID			the provider's National Facility Register (NFR) number. Must	NOMS
			A sequential count of the records submitted each quarter. This field is NOT used to match records. Identifies the provider of the alcohol or drug treatment service, the provider's National Facility Register (NFR) number. Must begin with "UT." An identifier that is from 1 to 15 alphanumeric characters and at a minimum is unique within the provider. The identifier: 1. Must NOT be reassigned to another client, 2. Can be meaningless, and 3. Must ensure confidentiality of client records - must not identify the client 4. An individual should not have more than one ID The client's social security number. Field must be either 2 (Unknown / NA) or 10 characters in length A person who has no alcohol or other drug abuse problem, but satisfies all of the following conditions: 1. Is seeking services because of problems arising from his/her relationship with an alcohol or drug abuser. 2. Has been formally admitted for service to a program. 3. Has his/her own client record. This field identifies the record as an admit/transfer record. Only a value of 1 or 2 is valid YYYY The month, day and year, and time when the client receives his or her first direct treatment or recovery service. A duplicate Admit Date / Time for the same patient	
3 client_id	Unique Client identifier	string (15)	An identifier that is from 1 to 15 alphanumeric characters and at	KEY
Client ID			a minimum is unique within the provider. The identifier:	NOMS
			Must NOT be reassigned to another client,	
			2. Can be meaningless, and	
			3. Must ensure confidentiality of client records - must not	
			identify the client	
			4. An individual should not have more than one ID	
4 ssn	Client's SSN	string (11)	The client's social security number.	
Social Security Number	999-99-9999=None	(used to say		ADMIT
	000-00-0000=Unknown	15)		STATE
5 medicaid_id	Clients Medicaid ID Number	string (10)	The client's Medicaid number.	ADMIT
Medicaid Number	97=Unknown		Field must be either 2 (Unknown / NA) or 10 characters in length	STATE
	98=Not Applicable			
6 depen_collat_ind	1=Yes	number (1)	A person who has no alcohol or other drug abuse problem,	ADMIT
Co-Dependent/	2=No		but satisfies all of the following conditions:	NOMS
Collateral			1. Is seeking services because of problems arising	
			from his/her relationship with an alcohol or drug abuser.	
			2. Has been formally admitted for service to a program.	
			3 Has his/her own client record	
7 trans_type_cd	1=Initial Admit (Beginning of	number (1)		TRANS
Transaction Type	Episode)			NOMS
Transaction Type	2=Transfer/Change in Service		Only a value of 1 of 2 is valid	IVOIVIO
8 admit_dt_time Date and time of Admission	Date / Time	MM/DD/YYYY hh:mm:ss		KEY ADMIT

Allowed Values	Format	Definition	Code
0=Assessment Only	number (1)	The service that the client is admitted or transferred into.	KEY
1=Detox. Hospital Inpat.		See Supplemental Definitions for the definition of each service type.	ADMIT
2=Detox. Free Standing			NOMS
3=Rehab/Res. Hospital		Records with codes 0, 1, 2, 8 and 9 are not required to have	
4=Rehab./Res. Short Term		less than 5% unknown or missing.	
5=Rehab./Res. Long Term			
6=Amb. Intensive Outpatient			
7=Amb. Outpatient			
8=Amb. Detox.			
9=Limited Treatment			
0=0 Prior Treatments	number (1)	The number of previous treatment episodes the client has received in any drug or	ADMIT
1=1 Prior Treatment		alcohol program. Changes in service/modality during the same treatment	NOMS
2=2 Prior Treatments			
3=3 Prior Treatments		Include episodes prior to 1/1/90.	
4=4 Prior Treatments			
5=5 or More Prior Treatments			
7=Unknown			
1=Individual Includes Self	number (2)	Describes the specific person or agency referring the client	ADMIT
2=Alcohol/Drug Abuse Care		to the alcohol or drug treatment program.	NOMS
Provider			
3=Other Health Care Provider			
4=School			
5=Employer/EAP			
6=Division of Workforce		,	
Services-Welfare			
7=DCFS			
8=Adult Court			
9=Juvenile Court		prevention, education or treatment.	
10=Probation			
11=Parole		Others Health Open Bresides had also a shortistic according to a street form	
12=Police			
13=Prison			
14=DUI/DWI			
15=Other Community Referral		School (Educational): Includes a principal counselor or teacher; or a student	
97=Unknown			
		, 5 , ,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,	
		Employer/EAP: Includes a supervisor or an employee counselor.	
		Adult Court: include adult drug or dependency courts in this category	
		Subtri. Related by a countries by the best subtries of the best subtries	
_	0=Assessment Only 1=Detox. Hospital Inpat. 2=Detox. Free Standing 3=Rehab/Res. Hospital 4=Rehab./Res. Short Term 5=Rehab./Res. Long Term 6=Amb. Intensive Outpatient 7=Amb. Outpatient 8=Amb. Detox. 9=Limited Treatment 0=0 Prior Treatments 1=1 Prior Treatments 3=3 Prior Treatments 4=4 Prior Treatments 5=5 or More Prior Treatments 7=Unknown 1=Individual Includes Self 2=Alcohol/Drug Abuse Care Provider 3=Other Health Care Provider 4=School 5=Employer/EAP 6=Division of Workforce Services-Welfare 7=DCFS 8=Adult Court 9=Juvenile Court 10=Probation 11=Parole 12=Police 13=Prison 14=DUI/DWI 15=Other Community Referral	0=Assessment Only 1=Detox. Hospital Inpat. 2=Detox. Free Standing 3=Rehab/Res. Hospital 4=Rehab./Res. Short Term 5=Rehab./Res. Long Term 6=Amb. Intensive Outpatient 7=Amb. Outpatient 8=Amb. Detox. 9=Limited Treatment 0=0 Prior Treatments 1=1 Prior Treatments 3=3 Prior Treatments 4=4 Prior Treatments 5=5 or More Prior Treatments 7=Unknown 1=Individual Includes Self 2=Alcohol/Drug Abuse Care Provider 3=Other Health Care Provider 4=School 5=Employer/EAP 6=Division of Workforce Services-Welfare 7=DCFS 8=Adult Court 9=Juvenile Court 10=Probation 11=Parole 12=Police 13=Prison 14=DUI/DWI 15=Other Community Referral	D=Assessment Only 1=Detox. Hospital Inpat. 2=Detox. Pree Standing 3=Rehab/Res. Hospital 4=Rehab/Res. Hospital 4=Rehab/Res. Short Term 6=Amb. Intensive Outpatient 7=Amb. Outpatient 8=Amb. Detox. 9=Limited Treatment 1=1 Prior Treatments 1=1 Prior Treatments 4=3 Prior Treatments 5=5 or More Prior Treatments 5=5 or More Prior Treatments 7=Unknown 1=Individual Includes Self 2=Alcohol/Drug Abuse Care Provider 4=School 5=Employer/EAP 6=Division of Workforce Services-Welfare 7=DCS 8=Adult Court 9=Juvenile Court 10-Probation 11=Parole 12-Police 13-Pilson 14=DUl/DWI 15-Other Community Referral 97=Unknown 14=Dul/DWI 15-Other Community Referral 97=Unknown 15=Reservice that the client is admitted or transferred into. See Supplemental Definitions for the definition of each service type. See Supplemental Definitions for the definition of each service type. See Supplemental Definitions for the definition of each service type. See Supplemental Definitions for the definition of each service type. See Supplemental Definitions for the definition of each service type. See Supplemental Definitions for the definition of each service type. See Supplemental Definitions for the definition of each service type. See Supplemental Definitions for the definition of each service type. See Supplemental Definitions for the definition of each service type. See Supplemental Definitions for the definition of each service type. See Supplemental Definitions for the definition of each service type. See Supplemental Definitions for the definition of each service type. See Supplemental Definitions for the definition of each service where less than 5% unknown or missing. Records with codes 0, 1, 2, 8 and 9 are not required to have less than 5% unknown or missing. Records with codes 0, 1, 2, 8 and 9 are not required to have less than 5% unknown or missing. Records with codes 0, 1, 2, 8 and 9 are not required to have less than 5% unknown or missing. Records with codes 0, 1, 2, 8 and 9 are not required to have less than 5% unknown or missing. Reco

	Name and Description	Allowed Values	Format	Definition	Code
	referral_source_cd (continued)			Other Community Referral: Community and religious organizations or any federal, State or local agency that provides aid in the areas of poverty relief, unemployment, shelter or social welfare, that is not listed above. Self-help groups such as AA, Al-Anon, and NA are also included in this category.	
12	birth_dt Date of Birth	Date 01/01/0007=Unknown	mm/dd/yyyy	The client's legal birth date. This field should only be coded as "unknown" (01/01/0007) if the client was admitted into detoxification services and the client left services prior to being capable of providing this information.	ADMIT NOMS
13	gender_cd Gender	1=Male 2=Female	number (1)	Indentifies the client's gender.	ADMIT NOMS
14	race_cd Race	1=Alaskan Native 2=American Indian 3=Asian 4=Native Hawaiian or Other Pacific Islander 5=Black/African American 6=White 7=Unknown 0=Other	number (1)	Indicates the client's race. If you don't distinguish between American Indian and Alaska Native, code both as American Indian. Clients of Hispanic ethnicity are typically coded as "White" in the racial category. Alaska Native: (Aleut, Eskimo, Indian) Origins in any of the original people of Alaska. American Indian: (Other than Alaska Native) Origins in any of the original people of North American and South America (including Central America) and who maintain cultural identification through tribal affiliation or community attachment. Asian: Origins in any of the original people of the Far East, the Indian subcontinent, Southeast Asia, including, for example, Cambodia, China, India,	ADMIT NOMS
				Japan, Korea, Malaysia, Philippine Islands, Thailand, Vietnam. Native Hawaiian or Other Pacific Islander: Origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands. Black or African American: Origins in any of the black racial groups of Africa. White: Origins in any of the original people of Europe, North Africa or the Middle East.	
				Other: A default category for use in instances in which the client is not classified above or whose origin group, because of area custom, is regarded as a racial class distinct from the above categories.	
15	ethnicity_cd Ethnicity	1=Puerto Rican 2=Mexican 3=Cuban 4=Other Hispanic 5=Not of Hispanic Origin 7=Unknown	number (1)	Identifies the specific Hispanic Origin. Puerto Rican: Of Puerto Rican origin regardless of race. Mexican: Of Mexican origin regardless of race. Cuban: Of Cuban origin regardless of race. Other Specific Hispanic: Of known or unknown Central or South American or any other Spanish cultural origin (including Spain), other than Puerto Rican, Mexican or Cuban, regardless of race.	ADMIT NOMS

١	Name and Description	Allowed Values	Format	Definition	Code
16 r	marital_status_cd	1=Never Married	number (2)	Specifies the client's marital status.	ADMIT
N	Marital Status	2=Married			FED
		1=Never Married 2=Married 3=Separated 4=Divorced 5=Widowed 7=Unknown 0=Less than One Grade Completed Grade) Completed (For GED use 12) 97=Unknown 1=Employed Full Time 3=Unemployed 4=Homemaker 5=Student 6=Retired 7=Disabled 20=Other "Not In the Labor Force" 97=Unknown 1=None 1=None 1=None 1=None 1=None 1=None 1=None 1=None 1=None 1-None 1-Nore			
		5=Widowed		Separated: Includes those separated legally or otherwise absent from spouse	
		7=Unknown			
17 €	education_cd	0=Less than One Grade	number (2)	Specify the highest school grade the client has completed.	ADMIT
l E	Education	Completed		If more than 25 years have been completed, use "25".	NOMS
		1-25=Years of School (Highest			
		Grade) Completed (For GED			
		use 12)			
		97=Unknown			
18 e	employment_cd	1=Employed Full Time	number (2)	Identifies the client's current employment status.	ADMIT
	Employment Status	Status 2-Married 3-Separated 4-Divorced 5-Widowed 7-Linknown on_od 0-Less than One Grade ton Completed (For GED use 12) 97-Linknown ment_cd 1-Employed Full Time 2-Employed Part time 3-Sudent 6-Retired 7-Disabled 20-Other 'Not in the Labor Force' 97-Linknown ment_cd 1-None 6-Retired 7-Disabled 20-Other 'Not in the Labor Force' 97-Linknown ment_cd 1-None 1-Non	NOMS		
a	at Admission	3=Unemployed			
		4=Homemaker		Employed Part Time: Working fewer than 35 hours each week.	
		5=Student			
		6=Retired		Unemployed: Looking for work during the past 30 days or on layoff from a job.	
		7=Disabled			
		20=Other "Not In the Labor			
		Force"		Other "Not in the Labor Force": Not looking for work during the past 30 days.	
		97=Unknown		*If the client is employed and going to school, the employment	
				code takes priority over the "Student" code. Field 49 indicates	
				whether the client is enrolled in an education program.	
19 p	ori_substance_cd	1=None	number (2)	Identifies the client's primary substance problem. This field can only be coded as	ADMIT
	Substance Code	2=Alcohol			NOMS
F	Primary at Admission	3=Cocaine/Crack			
		4=Marijuana/Hashish			
		5=Heroin			
		6=Non-Prescription			
		Methadone			
		7=Other Opiates/Synthetics			
		8=PCP			
		9=Other Hallucinogens			
		10=Methamphetamine			
		11=Other Amphetamines			
		12=Other Stimulants			
		13=Other Benzodiazepines			
		14=Other Tranquilizers			
		15=Barbiturates			
		16=Other Sedatives/Hypnotic			
		17=Inhalants			

	Name and Description	Allowed Values	Format	Definition	Code
	pri_substance_cd	18=Over the Counter			
	(continued)	Inde_cd 18=Over the Counter 30=Oxycodone (Oxycotin, Percocet) 31=LSD 32=Methylphenidate (Ritalin) 33=Alprazolam (Xanax) 34=Diazepam (Valium) 35=Lorazepam (Alivan) 36=Hydrocodone (Vicodin, Lortab) 37=Morphine (ms contin) 38=MDMA (Ecstasy) 39=Rohypnol 40=GHB/GBL 41=Ketamine (Special K) 42=Clonazepam (Klonopin, Rivotril) 20=Other 97=Unknown ance_cd 2 Code 2 Tode 2 Tode 2 Tode 3 The way the client usually administers his/her primary substance of abuse. This field should be coded as "unknown" (7) only if the client's Substance Code Primary at Admission was also coded as "unknown" (97). This field must be coded as "unknown" (7) only if the client's Substance Code Primary at Admission was also coded as "unknown" (97). This field must be coded as "unknown" (7). This field must be coded as "unknown" (7). This field must be coded as "unknown" (7). This field must be coded as "not applicable" (8) if an only if the client's Substance Code Primary at Admission was coded as "unknown" (97). This field must be coded as "not applicable" (8) if an only if the client's Substance Code Primary at Admission was coded as "unknown" (97). This field must be coded as "not applicable" (8) if an only if the client's Substance Code Primary at Admission was coded as "none" (1). Admission was coded as "none" (1).			
		31=LSD			
		32=Methylphenidate (Ritalin)			
		33=Alprazolam (Xanax)			
		34=Diazepam (Valium)			
		35=Lorazepam (Ativan)			
		36=Hydrocodone (Vicodin,			
		Lortab)			
		37=Morphine (ms contin)			
	38=MDMA	38=MDMA (Ecstasy)			
		39=Rohypnol			
		40=GHB/GBL			
		41=Ketamine (Special K)			
		42=Clonazepam (Klonopin,			
		Rivotril)			
		20=Other			
		97=Unknown			
20	sec_substance_cd	Same as Field 19	number (2)		ADMIT
	Substance Code				NOMS
	Secondary at Admission				
21	ter_substance_cd	Same as Field 19	number (2)		ADMIT
	Substance Code				NOMS
	Tertiary at Admission				
	pri_admin_route_cd		number (1)	way the client usually administers his/her primary substance of abuse. This should be coded as "unknown" (7) only if the client's Substance Code pary at Admission was also coded as "unknown" (97). This field must be ad as "not applicable" (8) if and only if the client's Substance Code Primary at phission was coded as "none" (1). Same as Route of Administration – Primary, but for the client's secondary	ADMIT
	Route of Administration-			The way the client way ally administers his/her neimany substance of abuse. This	NOMS
	Primary				
		, ,			
				coded as "not applicable" (8) if and only if the client's Substance Code Primary at	
		·		Admission was coded as "none" (1).	
		6=Nasal (Snorted, Sniffed)			
		7=Unknown			
		8=Not Applicable	1 (2)		A DA 417
	sec_admin_route_cd	Same as field 22	number (1)	The same as Route of Administration – Primary, but for the client's secondary	ADMIT
	Route of Administration-			substance.	NOMS
-	Secondary	, , , , , , , , , , , , , , , , , , ,	(4)		ADMIT
	ter_admin_route_cd	Same as field 22	number (1)	The same as Route of Administration – Primary, but for the client's tertiary	ADMIT
	Route of Administration-			substance.	NOMS
	Tertiary				

	Name and Description	Allowed Values	Format	Definition	Code
25	pri_frequency_use_cd	1=No Use During Last 30	number (1)		ADMIT
	Frequency of Use -	Days			NOMS
	Primary at Admission	2=1-3 Times During Last 30			
		Days		Identifies the approximate number of times the primary substance of abuse was	
		3=1-2 Times Per Week During		used during the last 30 days that the client was not in a controlled environment,	
		Last 30 Days		e.g. prison/jail. This field should be coded as "unknown" (7) only if the client's Substance Code Primary at Admission was also coded as "unknown" (97). This	
		4=3-6 Times Per Week During		field must be coded as "not applicable" (8) if and only if the client's <i>Substance</i>	
		Last 30 Days		Code Primary at Admission was coded as "none" (1).	
		5=Daily Use During Last 30			
		Days			
		7=Unknown			
		8=Not Applicable			
26	sec_frequency_use_cd	Same as field 25	number (1)		ADMIT
	Frequency of Use -				NOMS
	Secondary at Admission			Identifies the approximate number of times the secondary substance of abuse	
				was used during the last 30 days that the client was not in a controlled environment, e.g. prison/jail. This field must be coded as "not applicable" (8) if	
				and only if the client's Substance Code Secondary at Admission was coded as	
				"none" (1).	
27	ter_frequency_use_cd	Same as field 25	number (1)		ADMIT
	Frequency of Use -				NOMS
	Tertiary at Admission			Identifies the approximate number of times the secondary substance of abuse	
				was used during the last 30 days that the client was not in a controlled environment, e.g. prison/jail. This field must be coded as "not applicable" (8) if	
				and only if the client's Substance Code Tertiary at Admission was coded as	
				"none" (1).	
28	pri_first_use_age	0=Indicates a newborn with	number (2)		ADMIT
	Age of First Use -	a substance dependency		For drugs other than alcohol, this field identifies the first voluntary use of the	NOMS
	Primary	problem		substance in the corresponding primary substance of abuse. For alcohol as the	
		1-95=Age		primary substance, it is the age of first intoxication. This field should be coded as	
		97=Unknown		"unknown" (97) if the client's Substance Code Primary at Admission was also	
		98=Not Applicable		coded as "unknown" (97). This field must be coded as "not applicable" (98) if and only if the client's <i>Substance Code Primary at Admission</i> was coded as "none"	
				(1). The age must be less than the client's current age, but not less than	
				their birthdate. Current age is calculated by taking the date the file was	
				processed and calculating years since birth date.	
29	sec_first_use_age	Same as field 28	number (2)	The same as the Age of First Use – Primary, but for the secondary substance of	ADMIT
	Age of First Use -			abuse.	NOMS
	Secondary				
30	ter_first_use_age	Same as field 28	number (2)	The same as the Age of First Use – Primary, but for the tertiary substance of	ADMIT
	Age of First Use -			abuse.	NOMS
	Tertiary				

	Name and Description	Allowed Values	Format	Definition	Code
31	living_arrangment_cd	1=On the street or in a homeless	number (1)		ADMIT
	Living Arrangement	shelter 2=Private residence not requiring		his/her/or family's independence in the living situation. Requiring support = requires support to maintain independence, including services for general health,	NOME
	Living Arrangement	support		mental health crises, recovery, or symptoms. Services are delivered at home by a	NONS
	at Admission	3=Private residence requiring support		family member or by an external care giver.	
		4=Jail or correctional facility			
		5=Institutional setting (NH, IMD, psych.			
		IP, VA, state hospital)			
		6=24-hour residential care			
		7=Adult or child foster home			
		8=Unknown	1 (4)		ADMIT
32	primary_income_cd	1=Legal Employment, Wages	number (1)		ADMIT
	Primary Source of	and Salary		Indentines the client's principal source of financial support. For children under 16,	FED
	Income	2=Welfare, Public Assistance		this field indicates the parent's primary source of income/support.	
		3=Pension, Retirement			
		Benefits, Social Security			
		4=Disability, Worker's			
		Compensation			
		5=Other 6=None			
		7=Unknown			
22	health_insurance_code		number (1)		ADMIT
33		1=Private Insurance 2=Blue Cross/ Blue Shield	number (1)	Specifies the client's health insurance. The insurance may or <u>may not</u> cover	FED
		3=Medicare		alcohol or drug treatment.	LED
		4=Medicaid			
		5=HMO			
		6=Other (Champus) 7=Unknown			
		8=None			
		9=CHIP			

	Name and Description	Allowed Values	Format	Definition	Code
34	payment_source_cd	1=Self Pay	number (2)		ADMIT
	Expected Source of	2=Blue Cross/ Blue Shield			FED
	Payment	3=Medicare		Identifies the primary source of payment for the current treatment event/modality.	
		4=Medicaid		Those clients operating under a split payment fee arrangement between multiple	
		5=Other Government		payment sources are to default to the payment source with the largest percentage. When the payment percentages are equal, either one can be	
		Payments		selected.	
		6=Worker's Compensation			
		7=Other Health Insurance Co.			
		8=No Charge/Free/Charity			
		9=CHIP			
		10=CIAO			
		11=Drug Court			
		20=Other			
		97=Unknown			
35	methadone_ind	1=Yes	number (1)		ADMIT
	Opiod Replacment	2=No		Identifies the planned or actual use of methadone, LAAM, Buprenorphine or other	NOMS
	Therapy	7=Unknown		opioid replacement therapy as part of the client's treatment plan.	
36	pregnant_ind	1=Yes	number (1)	Identifies whether or not the client is pregnant at admission.	ADMIT
	Pregnant at Time of	2=No		Only females may be coded as pregnant.	FED
	Admission	7=Unknown			
37	psychiatric_ind	1=Yes	number (1)		ADMIT
	Psychiatric Problem	2=No		Identifies whether the client has a psychiatric problem (a DSM Axis I or II	FED
		7=Unknown		Diagnosis) in addition to his/her alcohol or drug use problem.	
38	wait_days_nbr	0-996=Number of Days	number (3)		ADMIT
	Time Waiting to Enter	997=Unknown		Indicates the number of days from the first contact or request for service until the	FED
	Treatment			client was admitted and the first clinical service was available.	
39	children_nbr	0-96=Number of Children	number (2)	Specifies the number of children, age 17 or less, birth or adopted. The children	ADMIT
	Number of Children	97=Unknown		may or may not live with the client.	STATE
40	criminal_justice_nbr	0-96=Number of Arrests	number (2)		ADMIT
	Number of Arrests at	97=Unknown			NOMS
	Admission			This item is intended to capture the number of times the client was arrested for	
				any cause during the 30 days PRECEDING the date of admission to treatment.	
				Any formal arrest is to be counted regardless of whether incarceration or conviction resulted and regardless of the status of the arrest proceedings at the	
				time of admission. (Data was previously collected for the six months prior to	
				admission. NOMS asks for 30 days.)	

Name and Description	Allowed Values	Format	Definition	Code
41 drug_court_cd	1=Adult Drug Court	number (2)		ADMIT
Drug Court Participation	2=Juvenile Drug Court		This field is to track the clients who are involved in drug court in some way.	STATE
	3=Dependency/Family Drug Court		Adult Drug Court: clients that are participating in an Adult Drug Court (felony or misdemeanor).	
	4=Administrative Drug Board 97=Unknown 98=Not Applicable		<u>Dependency/Family Drug Court:</u> clients that are participating in a Dependency Drug Court.	
	90-Not Applicable		Administrative Drug Board: for Weber and Davis County Parolee's only.	
			<u>Unknown:</u> this is for clients that for some reason it is not known whether they are involved in Drug Court or not.	
			Not Applicable: this is used for clients who are not associated with drug court.	
42 tobacco_use	1=Never Used	number (2)		ADMIT
Tobacco Use	2=Have Used/Not Current User3=Occasional User (Less than one cigarette a day)		This field is used to track the tobacco (both cigarettes and smokeless tobacco products) usage of treatment clients. If clients use both Cigarettes and Smokeless Tobacco only keep track of the Frequency of Cigarette use. If they only use smokeless tobacco then use the corresponding code.	STATE
	4=Regular User (Less than two packs a day)		Never Used: for clients that have never used any tobacco products.	
	5=Heavy User (Two or more packs a day) 6=Use Smokeless Tobacco Only (In last 30 days) 97Unknown		Have Used/Not Current User: clients that have used any tobacco product in the past, but have not used in the past thirty days. Occasional User (Less than one cigarette a day): clients that smoke less than one cigarette a day.	
			Regular User (Less than two packs a day): clients that smoke more than one cigarette a day but less than two packs a day.	
	Heavy User (Two of more packs a day): clients that s cigarettes a day.	Heavy User (Two of more packs a day): clients that smoke two or more packs of cigarettes a day.		
			Use Smokeless Tobacco Only: clients that do not smoke cigarettes, but have used smokeless tobacco in the last thirty days.	
			<u>Unknown:</u> for some reason the client does not know whether they have ever used tobacco.	

	Name and Description	Allowed Values	Format	Definition	Code
43	tobacco_age Age of First Use of Tobacco	0-96=Age 97=Unknown 98=Not Applicable	number (2)	This is to collect the age of first use of tobacco for those clients that have ever used tobacco products, including clients that are current users of tobacco products.	ADMIT STATE
				Age: the codes from 0 to 96 will be allowed for the age that the client first started using any tobacco product.	
				<u>Unknown:</u> this is for clients who for some reason do not know the age when they first started using any tobacco products.	
				Not Applicable: This is the code that will also be used for those clients that never have used tobacco and thus don't have an age of first use.	
44	last_name	Last Name of Client	string (30)	The last name of the client. Please limit the last name to 30 letters. Any names	ADMIT
	Client Last Name	97=Unknown		exceeding 30 letters will be reduced in the State database to the first twenty letters.	STATE
				Please see the Supplemental Defintions for more details.	
45	first_name	First Name of Client	string (25)	The first name of the client. Please limit the first name to 25 letters. Any names	ADMIT
	Client First Name	97=Unknown		exceeding 25 letters will be reduced in the State database to the first twenty letters.	STATE
				Please see the Supplemental Defintions for more details.	
46	mid_name	Middle Name of Client	string (25)	· ·	ADMIT
	Client Middle Name			Middle name of the client. If there is no middle name or it is not available, leave	STATE
				blank. Supply the full legal middle name where possible and the middle initial if	
				that is all that is available. Periods are not allowed.	
				Please see the Supplemental Defintions for more details.	
47	family_size	1-9=Number of Persons	number (2)	The total number of persons in the client's legal family with whom he/she lives,	ADMIT
	Number of Persons in	10=More than 9 persons in		including the client.	STATE
	Client's Household	client's household		*The following should be included: parents, children, stepchildren, step-parents,	
		97=Unknown		siblings, half-siblings, step-siblings, children in court-ordered custody, and	
				cohabitating partners. The following should be included IF they are dependent upon the household income: grandparents, step-grandparents, grandchildren,	
				step-grandchildren, aunts, uncles, and cousins.	
48	family_income	Monthly Gross Income	number (6)		ADMIT
	Client's household	0=None		Total of all legal monthly income for the household in which the client lives and is	STATE
	income	97=Unknown		legally a part of. For adolescent clients, include parents'/guardians' income. Do not use commas, decimals, or dollar signs (\$).	
				For example, \$100.00 should be "100", not "100.00" or "10000".	
49	enrolled_ed	1=Yes	number (1)	Indicates whether the client is enrolled in an education program	ADMIT
	Enrolled in education	2=No		at the time of admission. This field needs to be built as a drop-	STATE
	at admission	7=Unknown NEW FIELD		down list so it can be expanded in the future without major	

Name and Description	Allowed Values	Format	Definition	Code
50 DiagA1	DSM IV Code	XNN.NN	Submit most current diagnosis. Each quarter we require a	ADMIT
Axis I Diagnosis 1	NEW FIELD		current and complete list of all diagnoses that are being	STATE
			treated up to 5 on Axis I. Leave subsequent fields blank if	DIAG
			no subsquent diagnoses. No diagnoses are required if the	
			client is a Co-Dependent/Collateral.	
51 DiagA1_Date		mm/dd/yyyy	A date is required if there is a corresponding diagnosis.	ADMIT
Date DiagA1 was given	NEW FIELD			STATE
				DIAG
52 DiagA2	DSM IV Code	XNN.NN		ADMIT
Axis I Diagnosis 2	NEW FIELD			STATE
			Submit most current diagnosis. Each quarter we require a current and complete list of all diagnoses that are being treated up to 5 on Axis I. Leave subsequent fields blank if no subsquent diagnoses. No diagnoses are required if the client is a Co-Dependent/Collateral.	DIAG
53 DiagA2_Date		mm/dd/yyyy	A date is required if there is a corresponding diagnosis.	ADMIT
Date DiagA2 was given	NEW FIELD			STATE
			current and complete list of all diagnoses that are being treated up to 5 on Axis I. Leave subsequent fields blank if no subsquent diagnoses. No diagnoses are required if the client is a Co-Dependent/Collateral. A date is required if there is a corresponding diagnosis. A date is required if there is a corresponding diagnosis. A date is required if there is a corresponding diagnosis. A date is required if there is a corresponding diagnosis. A date is required if there is a corresponding diagnosis.	DIAG
54 DiagA3	DSM IV Code	XNN.NN		ADMIT
Axis I Diagnosis 3	NEW FIELD			STATE
ŭ				DIAG
55 DiagA3_Date		mm/dd/yyyy	A date is required if there is a corresponding diagnosis.	ADMIT
Date DiagA3 was given	NEW FIELD			STATE
g g				DIAG
56 DiagA4	DSM IV Code	XNN.NN		ADMIT
Axis I Diagnosis 4	NEW FIELD			STATE
i and i a raight one i				DIAG
57 DiagA4_Date		mm/dd/yyyy	A date is required if there is a corresponding diagnosis.	ADMIT
Date DiagA4 was given	NEW FIELD	,,,,		STATE
3.5				DIAG
58 DiagA5	DSM IV Code	XNN.NN		ADMIT
Axis I Diagnosis 5	NEW FIELD			STATE
a mana a mangana ana				DIAG
59 DiagA5_Date		mm/dd/yyyy	A date is required if there is a corresponding diagnosis.	ADMIT
Date DiagA5 was given	NEW FIELD			STATE
Tate Tiag. to true given				DIAG
60 DiagA6	DSM IV Code	XNN.NN		ADMIT
Axis I Diagnosis 6	NEW FIELD	, , , , , , , , , , , , , , , , , , , ,		STATE
1 0.10 1 2 1.0g. 100.10 0				DIAG
61 DiagA6_Date		mm/dd/yyyy	A date is required if there is a corresponding diagnosis.	ADMIT
Date DiagA6 was given	NEW FIELD	, , , , , , ,		STATE
				DIAG
62 DiagA7	DSM IV Code	XNN.NN		ADMIT
Axis I Diagnosis 7	NEW FIELD	MANAMA		STATE
ANIS I Diagnosis I	TALAN TILLD			DIAG
			client is a Co-Dependent/Collateral. A date is required if there is a corresponding diagnosis. A date is required if there is a corresponding diagnosis. A date is required if there is a corresponding diagnosis. A date is required if there is a corresponding diagnosis. A date is required if there is a corresponding diagnosis.	DIAG

Allowed Values	Format	Definition	Code
	mm/dd/yyyy	A date is required if there is a corresponding diagnosis.	ADMIT
NEW FIELD			STATE
			DIAG
DSM IV Code	XNN.NN		ADMIT
NEW FIELD			STATE
			DIAG
	mm/dd/yyyy	A date is required if there is a corresponding diagnosis.	ADMIT
NEW FIELD			STATE
			DIAG
DSM IV Code	XNN.NN		ADMIT
NEW FIELD	IEW FIELD		STATE
		DIAG	
	mm/dd/yyyy	A date is required if there is a corresponding diagnosis.	ADMIT
NEW FIELD			STATE
			DIAG
DSM IV Code	XNN.NN		ADMIT
			STATE
			DIAG
_	mm/dd/vvvv	A date is required if there is a corresponding diagnosis.	ADMIT
NEW FIFI D	111111/444/9999		STATE
			DIAG
DSM IV Code	XNN.NN		ADMIT
	,		STATE
NEW FIEED			DIAG
	mm/dd/yyyy	A date is required if there is a corresponding diagnosis	ADMIT
NEW FIELD		r adio to roquirou il dioto to a corresponding diagnosts.	STATE
NEW FIEED			DIAG
DSM IV Code	XNN NN		ADMIT
	7.111.111		STATE
TALLAN TILLES			DIAG
	mm/dd/yyyy	A data is required if there is a corresponding diagnosis	ADMIT
NEW FIELD	ППП/СС/уууу	A date is required if there is a corresponding diagnosis.	STATE
TALLAN TILLED			DIAG
DSM IV Code	YNN NN		ADMIT
	ZININ.ININ		STATE
IVEVVIILLD			DIAG
+	mm/dd/\\ana\	A data is required if there is a corresponding diagnosis	ADMIT
NEW EIELD	min/du/yyyy	A date is required if there is a corresponding diagnosis.	STATE
INCAN LIELD			DIAG
SAMHIS client ID	number (10)	SAMHIS Client ID should be included or left blank until available	ADMIT
	DSM IV Code NEW FIELD NEW FIELD DSM IV Code	NEW FIELD DSM IV Code XNN.NN NEW FIELD mm/dd/yyyy NEW FIELD mm/dd/yyyy	DSM IV Code NEW FIELD NEW FIELD